

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

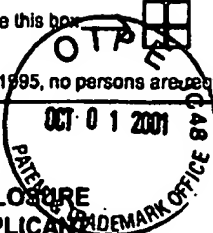
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

+

Substitute for form 1449A/PTO
(Modified by BSTZ 6/30/99)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Complete if Known

| | |
|------------------------|------------------|
| Application Number | 09/820,574 |
| Filing Date | March 28, 2001 |
| First Named Inventor | Reed Maltzman |
| Group Art Unit | 2164 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 003801.P040 |

Sheet 1 of 9

U.S. PATENT DOCUMENTS

| Examiner Initials * | U.S. Patent Document Number | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Filing Date if Appropriate |
|---------------------|-----------------------------|---|--|----------------------------|
| 084 | 3,581,072 | Nymayer | 5/25/1971 | 3/28/1968 |
| | 3,573,747 | Adams, et al. | 4/6/1971 | 2/24/1969 |
| | 4,412,287 | Braddock, III | 10/25/1983 | 9/15/1982 |
| | 4,674,044 | Kalmus, et al. | 6/16/1987 | 1/30/1985 |
| | 4,677,552 | Sibley, Jr. | 6/30/1987 | 10/5/1984 |
| | 4,789,928 | Fujisaki | 12/6/1988 | 1/30/1987 |
| | 4,799,156 | Shavit, et al. | 1/17/1989 | 10/1/1986 |
| | 4,823,265 | Nelson | 4/18/1989 | 5/11/1987 |
| | 4,903,201 | Wagner | 2/20/1990 | 11/3/1983 |
| | 5,063,507 | Lindsey, et al. | 11/5/1991 | 9/14/1990 |
| | 5,077,665 | Sliverman, et al. | 12/31/1991 | 5/25/1989 |
| | 5,101,353 | Lupien, et al. | 3/31/1992 | 5/31/1989 |

SP/07
T.T.

FOREIGN PATENT DOCUMENTS

| Examiner Initials * | Foreign Patent Document | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Translation? Yes/No |
|---------------------|---------------------------|---|--|---------------------|
| 084 | PCT WO 92/15174 2/25/1992 | Beaumont-Maxin International | 9/3/1992 | |
| | PCT WO 96/34358 4/26/1996 | Fleanet, Inc. | 10/31/1996 | |
| | PCT WO 97/37315 3/19/1997 | Onsale, Inc. | 10/9/1997 | |

OTHER DOCUMENTS

| Examiner Initials * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known). | Translation? Yes/No |
|---------------------|--|---------------------|
| 084 | MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6. | |
| | WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA | |
| | "Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1 | |
| | NEO, B.S, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1 | |

Examiner Signature

[Signature]

Date Considered

6/15/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

+